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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/553,877

Filing Date April 20, 2000

First Named Inventor Gerald Peters

Group Art Unit 2163

Examiner Name T. Hafiz

AUG 23 2002

Total Number of Pages in This Submission 11

Attorney Docket Number PA2439US

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## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☒ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Postcard; Statement Under 37 CFR 3.73(b); Copy of Assignment

Remarks

Total page number does NOT include postcard.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Carr & Ferrell, LLP Cust. No. 22830

Signature

Date

August 15, 2002

## CERTIFICATE OF MAILING

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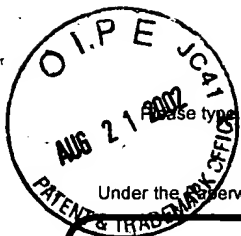
Matthew J. Cohen, Reg. No. 42,426

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## REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/553,877
Filing Date	April 20, 2000
First Named Inventor	Gerald Peters
Group Art Unit	2163
Examiner Name	T. Hafiz
Attorney Docket Number	PA2439US

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

### SIGNATURE of Applicant or Assignee of Record

Name

Anne S. Jordan, Esq.

Signature

*Anne S. Jordan*

Date

AUGUST 7th 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/553,877
Filing Date	April 20, 2000
First Named Inventor	Gerald Peters
Title	Integrated System...
Group Art Unit	2163
Examiner Name	T. Hafiz
Attorney Docket Number	PA2439US

I hereby appoint:

☒ Practitioners at Customer Number   
OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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☐ The above-mentioned Customer Number.

OR

☒ Practitioners at Customer Number

OR

☐ Firm or  
Individual Name

Address

Address

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State

Zip

Country

Telephone

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

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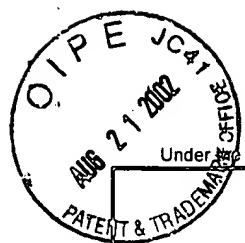
### SIGNATURE of Applicant or Assignee of Record

Name	Anne S. Jordan, Esq.
Signature	<i>Anne S. Jordan</i>
Date	AUGUST 7TH 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: PeopleSoft, Inc.  
Application No./Patent No.: 09/553,877 Filed/Issue Date: April 20, 2000  
Entitled: Integrated System for the Administration of an Insurance Company  
PeopleSoft, Inc., a Delaware Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- 1. ☒ the assignee of the entire right, title, and interest; or
- 2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

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in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 10290, Frame 0894, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

- 1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- 2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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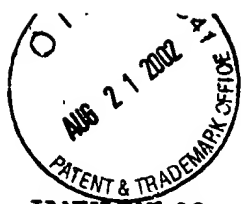
☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.  
**[NOTE:]** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

AUGUST & 7th 2002  
Date

Anne S. Jordan, Esq.  
Typed or printed name  
Anne S. Jordan  
Signature  
SVP & GENERAL COUNSEL  
Title



JANUARY 03, 2000

MICHAEL BEST & FRIEDRICH LLP  
ROBERT S. BEISER  
100 EAST WISCONSIN AVENUE  
MILWAUKEE, WI 53202



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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/06/1999

REEL/FRAME: 010290/0894  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
PETERS, GERALD

DOC DATE: 09/17/1999

ASSIGNOR:  
SYKES, JON

DOC DATE: 09/17/1999

ASSIGNOR:  
PENNINGTON, ARTHUR

DOC DATE: 09/17/1999

ASSIGNEE:  
PEOPLESOFT, INC.  
4305 HACIENDA DRIVE  
PLEASANTON, CALIFORNIA 94588

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SERIAL NUMBER: 08673647  
PATENT NUMBER:

FILING DATE: 06/25/1996  
ISSUE DATE:

SERIAL NUMBER: 08285501  
PATENT NUMBER:

FILING DATE: 08/03/1994  
ISSUE DATE:

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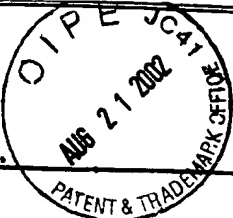
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010290/0894 PAGE 2

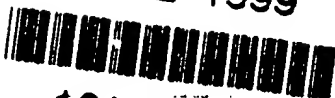
SERIAL NUMBER: 07813151  
PATENT NUMBER:

FILING DATE: 12/23/1991  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



10-12-1999



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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Commissioner of Patents and Trademarks  
Washington, D. C. 20231

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*Kathleen F. Rutz*  
Date of Deposit: October 6, 1999

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Gerald Peters  
Jon Sykes  
Arthur Pennington

2. Name and address of receiving party(ies):  
Name: PeopleSoft, Inc.

Address: 4305 Hacienda Drive

City: Pleasanton State: CA Zip: 94588

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 9-17-99

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4. Application number(s) or patent number(s):

A. Patent Application Nos. 08/673,647, filed June 25, 1996;  
08/285,501, filed August 3, 1994;  
07/813,151, filed December 23, 1991

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Robert S. Beiser  
Michael Best & Friedrich LLP  
100 East Wisconsin Avenue  
Milwaukee, WI 53202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed

☒ Deficiencies in fee charged to Deposit Account

8. Deposit account number: 13-3080

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert S. Beiser (Reg. N. 28,687)  
Name of Person Signing

*Robert S. Beiser*  
Signature

October 6, 1999  
Date

Attorney File 91164-9004

Total number of pages including cover sheet, attachments, and document: 7

ME 10-6-99

0/06/1999 JSHADAZZ 00000077 133080 08673647  
1 FC581 80.00 CH 40.00 OP

10-6-2002

## PATENT ASSIGNMENT

Whereas, we, GERALD PETERS, an individual residing at 17119 Highway 86, Kiowa, Box 535, Colorado, 80117, JON SYKES, an individual residing at 4007 Denise Court, Glenview, Illinois, 60025, and ARTHUR PENNINGTON, an individual residing at 594 Forestway Drive, Buffalo Grove, Illinois, 60089 are the sole owners of United States Patent Application No. 08/673,647 entitled "Integrated System for the Administration of an Insurance Company". For and in consideration of the sum of One Dollar (\$1.00), paid by PEOPLESOFT, INC. (hereinafter referred to as "Assignee"), a corporation having its principal place of business at: 4305 Hacienda Drive Pleasanton, California 94588, and for other valuable and sufficient consideration, receipt hereof is hereby acknowledged, we hereby sell, assign and convey, unto Assignee, its successors and assigns, our entire right, title and interest -

(1) in and to Patent Application No. 08/673,647 for an invention entitled "Integrated System for the Administration of an Insurance Company" for which we have received a notice of allowance and issue fee notice;

(2) in and to said United States patent, in and to all other patent applications (including divisional, continuation, continuation-in-part, §111(b) provisional, §111(a), and reissue applications) based upon said invention, and in and to the patent or patents to be granted thereon, including reissues thereof, if any, to the full end of the term or terms for which said patent or patents may be granted;

(3) in and to all patent applications on said invention now or hereafter filed in countries foreign to the United States of America, and in and to any and all patents granted on said applications to the full end of the terms for which said patents may be granted; and

(4) under the International Convention in respect to the United States patent

application and agree that any patent applications of any foreign countries which may be filed shall be filed in the name of our Assignee with a claim to priority based on said United States patent.

And we hereby agree that we will, upon demand of Assignee, its successors or assigns, and without further consideration, execute any and all papers that may be necessary, or deemed by Assignee, its successors or assigns, to be necessary, to a complete fulfillment of the intent and purposes of this Assignment, it being understood that any expense incident to the execution of such papers shall be paid by Assignee, its successors and assigns.

And the Commissioner of Patents and Trademarks of the United States is hereby authorized and requested to issue the said United States patent or patents to Assignee.

Date:

9/12/89

19 89

  
Gerald Peters

Date:

19

\_\_\_\_\_  
Jon Sykes

Date:

19

\_\_\_\_\_  
Arthur Pennington

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And the Commissioner of Patents and Trademarks of the United States is hereby authorized and requested to issue the said United States patent or patents to Assignee.

Date: \_\_\_\_\_, 19 \_\_\_\_

\_\_\_\_\_  
Gerald Peters

Date: 9-16, 19 99

  
\_\_\_\_\_  
Jon Sykes

Date: \_\_\_\_\_, 19 \_\_\_\_

\_\_\_\_\_  
Arthur Pennington

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And we hereby agree that we will, upon demand of Assignee, its successors or assigns, and without further consideration, execute any and all papers that may be necessary, or deemed by Assignee, its successors or assigns, to be necessary, to a complete fulfillment of the intent and purposes of this Assignment, it being understood that any expense incident to the execution of such papers shall be paid by Assignee, its successors and assigns.

And the Commissioner of Patents and Trademarks of the United States is hereby authorized and requested to issue the said United States patent or patents to Assignee.

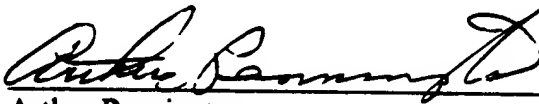
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\_\_\_\_\_  
Gerald Peters

Date: \_\_\_\_\_, 19 \_\_\_\_

\_\_\_\_\_  
Jon Sykes

Date: SEPT 16, 19 99

  
\_\_\_\_\_  
Arthur Pennington